

Electronic Acknowledgement Receipt

EFS ID:	14271840
Application Number:	09779114
International Application Number:	
Confirmation Number:	5695
Title of Invention:	SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO STOCK OPTION AND PURCHASE PLANS
First Named Inventor/Applicant Name:	Lawrence P. Shields
Customer Number:	21890
Filer:	Jack S. Barufka/Jennifer Easton-Hall
Filer Authorized By:	Jack S. Barufka
Attorney Docket Number:	74622-040
Receipt Date:	20-NOV-2012
Filing Date:	08-FEB-2001
Time Stamp:	08:34:10
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		09779114.pdf	254769	yes	2
			029340a65931e39933ab9e0d070e0a6273c0f6374		

Multi-part Description/PDF files in .zip description		
Document Description	Start	End
Assignee showing of ownership per 37 CFR 3.73.	1	1
Power of Attorney	2	2

Warnings:

Information:

Total Files Size (in bytes):	254769
-------------------------------------	--------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.